

## PCT INTERNATIONAL COOPERATION TREATY

PCT

NOTIFICATION OF THE RECORDING  
OF A CHANGE(PCT Rule 92bis.1 and  
Administrative Instructions, Section 422)

From the INTERNATIONAL BUREAU

To:

SLINGSBY, Philip, Roy  
Page White & Farrer  
54 Doughty Street  
London WC1N 2LS  
ROYAUME-UNI

Date of mailing (day/month/year) 18 January 2002 (18.01.02)	<b>IMPORTANT NOTIFICATION</b>
Applicant's or agent's file reference 103024/PRS	
International application No. PCT/IB00/01434	International filing date (day/month/year) 27 September 2000 (27.09.00)

1. The following indications appeared on record concerning:		
<input checked="" type="checkbox"/> the applicant	<input type="checkbox"/> the inventor	<input type="checkbox"/> the agent <input type="checkbox"/> the common representative
Name and Address NOKIA NETWORKS OY Keilalahdentie 4 FIN-02150 Espoo Finland	State of Nationality FI	State of Residence FI
	Telephone No.	
	Facsimile No.	
	Teleprinter No.	
2. The International Bureau hereby notifies the applicant that the following change has been recorded concerning:		
<input type="checkbox"/> the person	<input checked="" type="checkbox"/> the name	<input type="checkbox"/> the address <input type="checkbox"/> the nationality <input type="checkbox"/> the residence
Name and Address NOKIA CORPORATION Keilalahdentie 4 FIN-02150 Espoo Finland	State of Nationality FI	State of Residence FI
	Telephone No.	
	Facsimile No.	
	Teleprinter No.	
3. Further observations, if necessary:		
4. A copy of this notification has been sent to:		
<input checked="" type="checkbox"/> the receiving Office	<input type="checkbox"/> the designated Offices concerned	
<input type="checkbox"/> the International Searching Authority	<input checked="" type="checkbox"/> the elected Offices concerned	
<input checked="" type="checkbox"/> the International Preliminary Examining Authority	<input type="checkbox"/> other:	

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland Facsimile No.: (41-22) 740.14.35	Authorized officer Ingrid AULICH Telephone No.: (41-22) 338.83.38
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## PCT COOPERATION TREATY

PCT

## NOTIFICATION OF ELECTION

(PCT Rule 61.2)

From the INTERNATIONAL BUREAU

To:

Commissioner  
 US Department of Commerce  
 United States Patent and Trademark  
 Office, PCT  
 2011 South Clark Place Room  
 CP2/5C24  
 Arlington, VA 22202  
 ETATS-UNIS D'AMERIQUE  
 in its capacity as elected Office

Date of mailing (day/month/year) 29 June 2001 (29.06.01)	
International application No. PCT/IB00/01434	Applicant's or agent's file reference 103024/PRS
International filing date (day/month/year) 27 September 2000 (27.09.00)	Priority date (day/month/year) 29 September 1999 (29.09.99)
Applicant ARRAKOSKI, Jori et al	

1. The designated Office is hereby notified of its election made:

☒ in the demand filed with the International Preliminary Examining Authority on:

27 April 2001 (27.04.01)

☐ in a notice effecting later election filed with the International Bureau on:
2. The election ☒ was
☐ was not

made before the expiration of 19 months from the priority date or, where Rule 32 applies, within the time limit under Rule 32.2(b).

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland Facsimile No.: (41-22) 740.14.35	Authorized officer Olivia TEFY Telephone No.: (41-22) 338.83.38
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# PCT

## INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference <b>103024/PRS</b>	<b>FOR FURTHER ACTION</b> see Notification of Transmittal of International Search Report (Form PCT/ISA/220) as well as, where applicable, item 5 below.	
International application No. <b>PCT/IB 00/01434</b>	International filing date (day/month/year) <b>27/09/2000</b>	(Earliest) Priority Date (day/month/year) <b>29/09/1999</b>
Applicant <b>NOKIA NETWORKS OY et al.</b>		

This International Search Report has been prepared by this International Searching Authority and is transmitted to the applicant according to Article 18. A copy is being transmitted to the International Bureau.

This International Search Report consists of a total of 4 sheets.

☒ It is also accompanied by a copy of each prior art document cited in this report.

### 1. Basis of the report

- a. With regard to the **language**, the international search was carried out on the basis of the international application in the language in which it was filed, unless otherwise indicated under this item.

☐ the international search was carried out on the basis of a translation of the international application furnished to this Authority (Rule 23.1(b)).

- b. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application, the international search was carried out on the basis of the sequence listing :

☐ contained in the international application in written form.

☐ filed together with the international application in computer readable form.

☐ furnished subsequently to this Authority in written form.

☐ furnished subsequently to this Authority in computer readable form.

☐ the statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.

☐ the statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished

2. ☐ **Certain claims were found unsearchable** (See Box I).

3. ☐ **Unity of invention is lacking** (see Box II).

4. With regard to the **title**,

☒ the text is approved as submitted by the applicant.

☐ the text has been established by this Authority to read as follows:

5. With regard to the **abstract**,

☒ the text is approved as submitted by the applicant.

☐ the text has been established, according to Rule 38.2(b), by this Authority as it appears in Box III. The applicant may, within one month from the date of mailing of this international search report, submit comments to this Authority.

6. The figure of the **drawings** to be published with the abstract is Figure No.

☒ as suggested by the applicant.

☐ because the applicant failed to suggest a figure.

☐ because this figure better characterizes the invention.

2  
☐ None of the figures.

## INTERNATIONAL SEARCH REPORT

International Application No

PCT/IB 00/01434

A. CLASSIFICATION OF SUBJECT MATTER  
IPC 7 H04L12/28 H04Q7/30

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 H04L H04Q

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

WPI Data, PAJ, EPO-Internal, INSPEC

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	WO 98 27748 A (LOBODA HOWARD ; PETROV DMITRI (IL); FARBER ALLAN (IL); FOXCOM LTD ( ) 25 June 1998 (1998-06-25) page 1, line 3 -page 3, line 24 page 4, line 21 -page 5, line 4 claim 1	19, 20, 22, 27, 29-33, 35
A		1, 17, 18, 21, 23, 24, 28, 34
Y	US 5 832 384 A (KAMMER YVONNE ET AL) 3 November 1998 (1998-11-03)  abstract column 1, line 15 -column 5, line 36	19, 20, 22, 27, 29-33, 35
A		1, 17, 18, 21, 23, 24, 28, 34
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☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

° Special categories of cited documents :

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

- \*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- \*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- \*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- \*&\* document member of the same patent family

Date of the actual completion of the international search

20 December 2000

Date of mailing of the international search report

28/12/2000

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Vaskimo, K

## INTERNATIONAL SEARCH REPORT

International Application No

PCT/IB 00/01434

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	EP 0 769 885 A (TOKYO SHIBAURA ELECTRIC CO) 23 April 1997 (1997-04-23)	19, 20, 22, 27, 29-33, 35
A	column 1, line 5 -column 4, line 37	1, 17, 18, 21, 23, 24, 28, 34
Y	WO 96 35310 A (INTERWAVE COMMUNICATIONS INTER; WHITE TIMOTHY R ; LU PRISCILLA MARI) 7 November 1996 (1996-11-07)	19, 20, 22, 27, 29-33, 35
A	page 2, line 2 -page 5, line 11 page 6, line 11 -page 8, line 14	1, 17, 18, 21, 23, 24, 28, 34
Y	US 5 325 362 A (AZIZ ASHAR) 28 June 1994 (1994-06-28)	19, 20, 22, 27, 29-33, 35
A	column 1, line 7 -column 3, line 68 column 8, line 30 - line 49	1, 17, 18, 21, 23, 24, 28, 34
Y	WO 97 04610 A (GANNON STEPHEN MARK ; MUNDAY PETER ROBERT (GB); GOETZ IAN (GB); BRI) 6 February 1997 (1997-02-06)	19, 20, 22, 27, 29, 30, 32, 33, 35
A	page 1, line 3 -page 9, line 32 page 11, line 10 - line 27 page 14, line 17 -page 15, line 3	1, 17, 18, 21, 23, 24, 28, 31, 34
A	US 5 960 354 A (EINOLA HEIKKI) 28 September 1999 (1999-09-28)	1, 17-19, 35
A	column 1, line 9 -column 5, line 25	
A	WO 99 43165 A (ERICSSON TELEFON AB L M) 26 August 1999 (1999-08-26)	1, 17-19, 35
A	abstract	
A	US 5 664 007 A (SAMADI BEHROKH ET AL) 2 September 1997 (1997-09-02)	1, 17-19, 35
	column 1, line 7 -column 2, line 63	

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/IB 00/01434

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
WO 9827748	A	25-06-1998	AU 5408298 A BR 9713714 A EP 0950326 A US 5969837 A	15-07-1998 13-06-2000 20-10-1999 19-10-1999
US 5832384	A	03-11-1998	US 5544222 A AT 196963 T AU 5255696 A DE 69610616 D EP 0815694 A WO 9629832 A AU 1094995 A WO 9513685 A US 5533029 A US 6122527 A	06-08-1996 15-10-2000 08-10-1996 16-11-2000 07-01-1998 26-09-1996 29-05-1995 18-05-1995 02-07-1996 19-09-2000
EP 0769885	A	23-04-1997	JP 8307941 A JP 8307930 A US 6047176 A WO 9635307 A	22-11-1996 22-11-1996 04-04-2000 07-11-1996
WO 9635310	A	07-11-1996	US 5734699 A US 5577029 A AU 716535 B AU 5726296 A AU 716483 B AU 5726696 A AU 717297 B AU 5853496 A AU 714073 B AU 5918896 A CA 2219791 A CA 2219792 A CA 2219793 A CA 2219879 A CN 1196154 A CN 1196157 A EP 0824835 A EP 0826291 A EP 0826292 A EP 0824832 A US 5999813 A WO 9635309 A WO 9635301 A WO 9635298 A US 5818824 A US 5887256 A US 5953651 A US 5842138 A AU 717237 B AU 5675496 A CA 2219885 A CN 1196156 A EP 0829178 A WO 9635311 A US 5761195 A	31-03-1998 19-11-1996 24-02-2000 21-11-1996 24-02-2000 21-11-1996 23-03-2000 21-11-1996 16-12-1999 21-11-1996 07-11-1996 07-11-1996 07-11-1996 07-11-1996 14-10-1998 14-10-1998 25-02-1998 04-03-1998 04-03-1998 25-02-1998 07-12-1999 07-11-1996 07-11-1996 07-11-1996 06-10-1998 23-03-1999 14-09-1999 24-11-1998 23-03-2000 21-11-1996 07-11-1996 14-10-1998 18-03-1998 07-11-1996 02-06-1998
US 5325362	A	28-06-1994	EP 0655847 A	31-05-1995

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/IB 00/01434

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 5325362 A		JP 7170286 A	04-07-1995
WO 9704610 A	06-02-1997	AU 707362 B	08-07-1999
		AU 6524596 A	18-02-1997
		BR 9609577 A	02-03-1999
		CA 2227273 A	06-02-1997
		CN 1196152 A	14-10-1998
		EP 0840985 A	13-05-1998
		JP 11515144 T	21-12-1999
		NO 980260 A	20-03-1998
		NZ 313228 A	29-03-1999
		US 6047007 A	04-04-2000
US 5960354 A	28-09-1999	FI 952382 A	17-11-1996
		AU 706206 B	10-06-1999
		AU 5765396 A	29-11-1996
		CA 2194542 A	21-11-1996
		EP 0771514 A	07-05-1997
		WO 9637084 A	21-11-1996
		JP 10503356 T	24-03-1998
WO 9943165 A	26-08-1999	AU 3283499 A	06-09-1999
		EP 1059007 A	13-12-2000
US 5664007 A	02-09-1997	NONE	



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RECEIVED 18 JAN 2002

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## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference 103024/PRS	<b>FOR FURTHER ACTION</b> See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)	
International application No. PCT/IB00/01434	International filing date (day/month/year) 27/09/2000	Priority date (day/month/year) 29/09/1999
International Patent Classification (IPC) or national classification and IPC H04L12/28		
Applicant NOKIA NETWORKS OY et al.		
<p>1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.</p> <p>2. This REPORT consists of a total of 5 sheets, including this cover sheet.</p> <p><input checked="" type="checkbox"/> This report is also accompanied by ANNEXES, i.e. sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).</p> <p>These annexes consist of a total of 2 sheets.</p>		
<p>3. This report contains indications relating to the following items:</p> <p>I <input checked="" type="checkbox"/> Basis of the report</p> <p>II <input type="checkbox"/> Priority</p> <p>III <input type="checkbox"/> Non-establishment of opinion with regard to novelty, inventive step and industrial applicability</p> <p>IV <input type="checkbox"/> Lack of unity of invention</p> <p>V <input checked="" type="checkbox"/> Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement</p> <p>VI <input type="checkbox"/> Certain documents cited</p> <p>VII <input type="checkbox"/> Certain defects in the international application</p> <p>VIII <input type="checkbox"/> Certain observations on the international application</p>		
Date of submission of the demand 27/04/2001	Date of completion of this report 16.01.2002	
Name and mailing address of the international preliminary examining authority:  European Patent Office D-80298 Munich Tel. +49 89 2399 - 0 Tx: 523656 epmu d Fax: +49 89 2399 - 4465	Authorized officer Pajatakis, E Telephone No. +49 89 2399 8898 	



# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/IB00/01434

## I. Basis of the report

1. With regard to the **elements** of the international application (*Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report since they do not contain amendments (Rules 70.16 and 70.17)*):

**Description, pages:**

1-22 as originally filed

**Claims, No.:**

1-22,23 (part) as originally filed

23 (part),24-35 as received on 31/12/2001 with letter of 21/12/2001

**Drawings, sheets:**

1/7-7/7 as originally filed

2. With regard to the **language**, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.

These elements were available or furnished to this Authority in the following language: , which is:

- ☐ the language of a translation furnished for the purposes of the international search (under Rule 23.1(b)).
- ☐ the language of publication of the international application (under Rule 48.3(b)).
- ☐ the language of a translation furnished for the purposes of international preliminary examination (under Rule 55.2 and/or 55.3).

3. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application, the international preliminary examination was carried out on the basis of the sequence listing:

- ☐ contained in the international application in written form.
- ☐ filed together with the international application in computer readable form.
- ☐ furnished subsequently to this Authority in written form.
- ☐ furnished subsequently to this Authority in computer readable form.
- ☐ The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.
- ☐ The statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished.

4. The amendments have resulted in the cancellation of:

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT**

International application No. PCT/IB00/01434

- ☐ the description,      pages:  
☐ the claims,      Nos.:  
☐ the drawings,      sheets:

5. ☐ This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed (Rule 70.2(c)):

*(Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report.)*

6. Additional observations, if necessary:

**V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement**

1. Statement

Novelty (N)	Yes:	Claims	1-35
	No:	Claims	
Inventive step (IS)	Yes:	Claims	1-35
	No:	Claims	
Industrial applicability (IA)	Yes:	Claims	1-35
	No:	Claims	

2. Citations and explanations  
**see separate sheet**

**Re Item V**

**Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement**

1. The subject-matter of Claim 1 is new and involves an inventive step, Article 33(2)(3).

- 1.1 Claim 1 is directed to a communications system with a first network comprising a plurality of subscriber units and a sink node for wireless communication with the subscriber units. The system also comprises a second network geographically overlapping the first network and also having a sink node for wireless communication with the subscriber units of the second network.

Such a system is known from **D1 = EP-A-0 769 885**.

- 1.2 The application addresses the problem of bypassing the hierarchical structure of the networks when communication between the two networks is required.

This is done by a dedicated connection between the first network sink node and a subscriber unit of the second network.

- 1.3 Neither the problem nor the solution is suggested by the prior art.

According to **D1** the geographical overlap does not serve a direct communication between the first and the second network but allows sharing the same sink node (base station) by different network types (PSTN and ISDN). A direct communication between the networks is not suggested. In contrary communication paths have to go via the sink nodes to a route exchange.

Two geographically overlapping networks are also disclosed by **US-A-5 832 384**. The geographical overlap has a different purpose, namely to allow both networks to time share the same channel.

**US-A-5 960 354** discloses geographical overlapping networks with different frequency bands. Geographical overlap is exploited by dual band phones. A direct

connection between the two overlapping networks is not suggested.

According to **WO A 99 43165** geographical overlap is used for extending the coverage area. A direct connection between overlapping networks is not disclosed.

The other cited documents do not relate to overlapping networks.

2. The above finding also applies to Claims 17 and 18 which corresponds to Claim 1.
3. Claim 19 relates to a specific implementation of a dedicated connection between the first and the second network. The first sink node is capable of operation as a communication terminal of the second network. In this manner traffic can be internally redirected to the second network.

Thus, the subject-matter of Claim 19 is also new and inventive.

4. The above finding also applies to Claim 35 which corresponds to Claim 19.
5. The dependent claims relate to specific embodiments of the above-mentioned independent claims and are therefore also new and inventive.

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization  
International Bureau



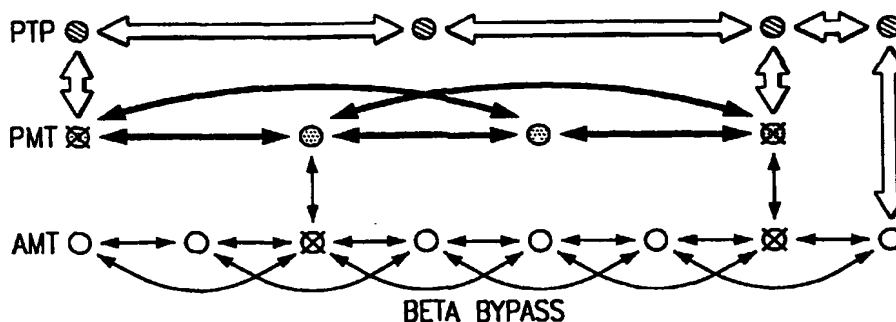
(43) International Publication Date  
5 April 2001 (05.04.2001)

PCT

(10) International Publication Number  
**WO 01/24453 A1**

- (51) International Patent Classification<sup>7</sup>: H04L 12/28, H04Q 7/30
- (21) International Application Number: PCT/IB00/01434
- (22) International Filing Date:  
27 September 2000 (27.09.2000)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:  
9923070.8 29 September 1999 (29.09.1999) GB
- (71) Applicant (for all designated States except US): NOKIA NETWORKS OY [FI/FI]; Keilalahdentie 4, FIN-02150 Espoo (FI).
- (72) Inventors; and
- (75) Inventors/Applicants (for US only): ARRAKOSKI, Jori [FI/FI]; Nokia Networks OY, Keilalahdentie 4, FIN-02150 Espoo (FI). LEPPA, Ari [FI/FI]; Nokia Networks OY, Keilalahdentie 4, FIN-02150 Espoo (FI). VAN WAES, Nico [FI/FI]; Nokia Networks OY, Keilalahdentie 4, FIN-02150 Espoo (FI).
- (81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.
- (84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).
- Published:  
— With international search report.
- For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: MULTILAYER TELECOMMUNICATIONS NETWORK



(57) Abstract: A communications system comprising: a first network comprising a plurality of first network subscriber units and a first network sink node unit capable of wireless communication with the first network subscriber units; and a second network geographically at least partly overlapping the first network and comprising a plurality of second network subscriber units and a second network sink node unit capable of wireless communication with the second network subscriber units; and a dedicated connection between the first network unit sink node unit and a second network unit capable of communication in the second network, whereby a first network subscriber unit may be provided with a communication path to another second network unit.

WO 01/24453 A1

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/IB 00/01434

**A. CLASSIFICATION OF SUBJECT MATTER**  
IPC 7 H04L12/28 H04Q7/30

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 H04L H04Q

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

WPI Data, PAJ, EPO-Internal, INSPEC

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	WO 98 27748 A (LOBODA HOWARD ; PETROV DMITRI (IL); FARBER ALLAN (IL); FOXCOM LTD ( ) 25 June 1998 (1998-06-25) page 1, line 3 -page 3, line 24 page 4, line 21 -page 5, line 4 claim 1	19,20, 22,27, 29-33,35
A		1,17,18, 21,23, 24,28,34
Y	US 5 832 384 A (KAMMER YVONNE ET AL) 3 November 1998 (1998-11-03)  abstract column 1, line 15 -column 5, line 36	19,20, 22,27, 29-33,35
A		1,17,18, 21,23, 24,28,34
	-/-	

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

\* Special categories of cited documents:

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

- \*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- \*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- \*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- \*G\* document member of the same patent family

Date of the actual completion of the international search

20 December 2000

Date of mailing of the international search report

28/12/2000

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
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Fax: (+31-70) 340-3016

Authorized officer

Vaskimo, K

# INTERNATIONAL SEARCH REPORT

Inter. Appl. No.  
PCT/IB 00/01434

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
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## **MULTILAYER TELECOMMUNICATIONS NETWORK**

This invention relates to a multilayer telecommunications network.

A wireless telecommunications network conventionally comprises a plurality of subscriber terminals such as mobile phones or wireless local loop terminators which can each communicate with one or more nearby base stations. Each base station is connected to a base station controller or another network element connecting the base station to the rest of the network by a relatively high bandwidth connection such as a cable or fibre link. Each base station controller is connected to a number of base stations. The base station controllers are connected to other network equipment, and by means of that equipment to other networks. In this way a connection can be established from one subscriber terminal to another or to a terminal in one of the other networks.

This arrangement has a number of disadvantages. First, it is necessary to implement all levels of the network in order to provide any connectivity for subscriber terminals. Second, all subscriber terminals use a similar link to a base station, and therefore it is not possible to offer subscriber terminals that can communicate at significantly enhanced data rates. Third, the hierarchical nature of the network-side connections to each base station mean that it is relatively inconvenient to alter the deployment of base-stations.

A fully wired connectivity concept is currently being deployed for many applications, for example using low speed telephone modems, DSL technologies, ISDN, cable modems, fibre etc. However, with current non-DSL technology the available throughput is relatively low for many intensive applications. DSL throughput is often degraded due to insufficient backhaul capacity and excessive traffic bundling. Also, DSL is often limited to urban scenarios due to the strict distance limitations.

The advantage of the proposed wireless solution over cable modems lies mainly in the excessive wiring costs required to provide cable modems. On top of that, wired solutions need to be fully deployed before any service can be offered, which requires a huge investment. The proposed wireless solution is scalable and can be tailored to the short-term demand and expanded with low cost when necessary. For fibre networks, the same holds as for cable modems.

Wireless solutions include satellite systems, WLL, advanced cellular networks, WLAN and LMDS based solutions. For satellite systems, two configurations are in use, one using both uplink and downlink through the satellite, while the other uses the satellite only for downlink. The clear disadvantage of both these configurations is the huge deployment cost. Another drawback is the high delay and the limited available throughput. However, the system does have the advantage of good coverage. WLL solutions are mainly intended for wireline replacement thus providing suitable bit rates for speech. This is a clear disadvantage compared to the proposed system, though the most advanced WLL systems try to achieve higher bit rates. Cellular networks provide mobility with a low throughput. The deployment costs are higher than for the proposed system. Operation of the cellular networks is licensed and highly regulated, thus limiting the business to just a few operators per area. WLAN support is partly included in the proposed system. A plain WLAN system has the limitations of a very small cell size thus requiring a huge amount of base stations for building coverage. The base station deployment is costly due to the fact that every base station must be connected to the wired network, thus requiring costly new wiring. This problem can be addressed with the proposed inband transmission (AMT).

Another one tier wireless solution is a fully PMP (LMDS) network. The main advantage the proposed network has over this solution, is that it does not suffer from the stringent LOS requirements of this type of solution, and that the CPE devices for low-demand customer premises will be far less expensive due to the lower cost of the RF part.

The proposed ETSI Broadband Radio Access Networks (BRAN) system is a multi level system. The architecture proposed for the BRAN system is shown in figure 1. HiperLink links are high bandwidth radio links for static interconnections, for example for use as access points into a fully wireless network. HiperAccess links are moderate speed radio access links for fixed radio connections to, for example, customer premises. HiperLAN/2 links provide moderate speed radio communications between, for example, portable computing devices and broadband networks. As shown in figure 1, one unit may be linked to another by, in series a HiperAccess link, a HiperLAN link and a HiperLink. This system provides a range of link speeds for appropriate environments, but relatively little flexibility of implementation since the network architecture at each level is a conventional, hierarchical tree structure.

According to the present invention from one aspect there is provided

The present invention will now be described by way of example with reference to the accompanying drawings in which:

figure 1 shows schematically the architecture of the proposed BRAN system;

figure 2 shows schematically the architecture of a system according to one aspect of the present invention;

figure 3 shows in more detail the system of figure 2;

figure 4 shows mesh and mesh derived network topology examples;

figure 5 shows a square grid of nodes illustrating hops in the present system;

figure 6 shows rural network topologies;

figure 7 shows an ad-hoc mesh network with 25 customers;

figure 8 shows an ad-hoc mesh network with 50 customers;

figure 9 shows an ad-hoc mesh network with 100 customers;

figure 10 shows a mesh network with 200 customers;

figure 11 shows a mesh network applied in a rural case;

figure 12 shows IMS spectrum band allocation;

figure 13 shows MMDS bandwidth allocation for the example of the USA;

figure 14 shows LMDS bandwidth allocation for the example of the USA;

An example of a telecommunications network according to the present invention will now be described in detail. The network comprises several tiers or layers having different operational characteristics, and between which communication can take place.

The network is illustrated generally in figure 2. The network comprises a PTP tier (PTP), a pre-configured mesh tier (PMT) and an ad-hoc mesh tier (AMT). Nodes in each layer may act as effectively as terminals or as sink nodes which can communicate with more or more terminals. A sink node in one layer can also have a presence as a sink node or a terminal in a higher tier, providing a link between the layers. Each tier operates in a different frequency band so that signals in each tier can be sent and received independently of those in other tiers even though the coverage areas of the networks overlap. A subscriber to the network can be provided with a terminal operable in which ever tier is most appropriate. A low bandwidth user can be provided with a terminal in the AMT tier; a higher bandwidth user can be provided with a terminal in the intermediate (PMT) layer; a high bandwidth user can be provided with a terminal in the upper (PTP) layer. It may also be possible for terminals in each layer to communicate directly with each other, without the use of a sink node as intermediary.

It is significant that each tier can "fit seamlessly", in terms of connectivity and interference avoidance, in the multi-tiered architecture. But tiers are preferably not interoperable because, for example, they may each operate in a different frequency band. This would avoid inter-tier interference.

In comparison, previously disclosed networks typically consist of one tier only, which neither provide scalability nor are designed with lower or higher wireless network tiers in mind.

As will be described in more detail below, further network tiers (for example WLANs or PANs) may be attached on top of or below the network of figure 2. These further tiers may operate within the same frequency band, CCA may be employed to allow for graceful coexistence within such a frequency band. It is preferred that devices meant for operation in the Ad-hoc Mesh Tier will be capable of interoperability with WLANs. This would allow such devices to be able to switch operation from one tier into the other.

The word "connectivity" refers to the possibility to connect co-located devices from different tiers back to back. The word "interoperability" refers to the capability of a device made to function in one tier in a certain mode, to function in another tier in that same mode.

A multi-tiered architecture of the type shown in figure 2 can provide flexible and scalable network deployment, which provides high cost-efficiency. Especially in the mesh architecture of the lowest tier, increasing the throughput can easily be achieved by inserting only a new node into the tier above, without manual reconfiguration of any other devices. In contrast, in a one-tier approach increasing bandwidth demand often can only be met by a total reconfiguration of the whole (sub)-network. This flexibility and scalability can give a network operator the ability to start the network small and extend it as demand increases, whereas other networks generally need to be fully pre-deployed (e.g. wired networks), or pre-deployed to a large extent to avoid the huge cost of network extension (e.g. one-tier wireless approaches).

A multi-tiered architecture can also avoid the need for a trade-off between technology cost and performance demands. For a one-tiered network, for example a network in the LMDS band, throughput can be made adequate

throughout the network, but the cost of a CPE is high due to the high cost of RF-technology in this band. For a one-tiered network in the 2.4 GHz band, for example, the CPE cost is comparatively much lower, however, the achievable throughput (with current technology) is lacking. The multi-tiered approach described herein can provide the advantages of both prior art techniques, while avoiding the drawbacks set out above.

The physical layer of the Ad-hoc Mesh Tier (AMT) can suitably be based on existing WLAN standards. (See, for example IEEE Std 802.11-1999, IEEE Std 802.11a/D6.0 and IEEE Std 802.11b/D5.5). This technology is not sufficient to handle all cases in the outdoor environment. Improvements are therefore needed. The enhancements described below will among other things aid in extending communication range and improving interference and error resilience, thereby increasing system capacity.

Since the physical layer of the AMT has similar RF characteristics to a WLAN, interoperability can be achieved purely by additional software. For example, if an AMT device has an indoor antenna, it could additionally serve as WLAN base-station, for example on a time-sharing basis.

On the link/network layer level, the presently proposed solution is potentially superior in that it does not require the concept of base-stations (master/slave approach), resulting in higher flexibility and failure resistance. Also, it does not demand the thorough network planning required for WLAN networks with multiple base-stations. This can be achieved by inband trunking and real-time adaptive network configuration. The link layer protocols will also decrease the systems self-interference.

The system described herein also implicitly handles the hidden terminal problem, whereas this poses significant scheduling problems in the WLAN approach; and can be optimised for both mobile and fixed terminals, by means of the system design being well adapted to conserving mobile battery-life.

Compared to a classical PMP topology, the PMT tier described herein can offer a higher reliability due to the possibility to connect a node to multiple others, and indirectly to multiple sink-nodes. Another potential advantage of the PMT tier is that not all nodes need to fulfil the LOS or near-LOS requirement to the sink-node, as LOS or near-LOS to any other node which achieves, directly or indirectly, LOS or near-LOS to the sink can be sufficient. Hence the PMT solution relaxes the stringent PMP requirements on base-station placement.

The present system will now be described in more detail.

The present system has a tiered network architecture, as illustrated generally in figures 2 and 3, comprising a PTP tier, a pre-configured mesh tier (PMT) and an ad-hoc mesh tier (AMT). In general, the AMT may be considered as being principally for residential and SOHO access (Customers), and the Pre-configured Mesh Tier (PMT) for AMT traffic transport and customers with high bandwidth demand (Business Customers). The PTP Tier serves to either connect single extremely high bandwidth demanding customers (Premium Customer) and PMT hubs directly to the backbone network.

Any of the three tiers can be deployed separately, while combinations are also possible. Thus the right combinations of the basic building elements can fulfil a multitude of service provider demands and requests. Suggested combinations appropriate to certain common scenarios are set out below.

The present network is principally adapted for outdoors use, and for deployment to cover relatively short distances to subscriber equipment rather than for long-distance trunk use (i.e. an outdoors last miles solution). It hence does not target same markets as WLANs and PANs, which are typically indoor solutions. In a suitable implementation the present network may provide a seamless wireless backhaul solution for the aforementioned technologies, in combination with which a wireless solution from, for example, a PCMCIA card may be implemented all the



way to an ISP's POP. Even though they are not shown in figure 3, WLANs and PANs can henceforth be considered to be optionally present as additional tiers underneath the AMT in figure 3.

The network comprises of three tiers: AMT, PMT and the PTP Tier.

A mesh network is built around sink nodes by adding mesh nodes. Sinks located in the area covered by one sink become connected on the mesh tier when a path of mesh nodes is available between them. In this mesh network the traffic flows from one node to another seeking the optimal route to the receiver. Though, the major part of the traffic tends to come or go to the Internet outside the radio network. Thus the traffic flow in a mesh network will be mainly from and towards sinks.

Both the AMT and PMT are mesh configurations. The AMT configuration is ad-hoc, meaning that devices may appear randomly and establish links to their geographical neighbours, thus mobile devices are supported though limitations exist to the communication during movement. The PMT configuration, in contrast, is the result of network planning. In the PMT, the devices are preferably stationary and their connections preferably fixed.

The PMT connects the AMT sinks. The PMT itself has its own sinks, which are either fixed wired backbone or PTP tier connections (see figure 3). The PMT is a mesh topology, but it may be implemented as any mesh derivative (see figure 4). LOS or the like might normally be required at this tier.

A multi-leg star topology differs from a star topology in that it allows a node to be indirectly connected to the sink (centre) node through a maximum of one other node, whereas a star topology requires each node to be directly connected to the sink. It differs from a pure mesh topology in that a pure mesh allows a node to connect to a sink through multiple hops. A multi-leg star allows usage of repeater stations to pass by obstructing objects.

The PTP tier comprises high-capacity LOS links, which serve primarily as backhaul links for the PMT and as access points for customers with extremely high bandwidth demands.

For purposes of modelling, the mesh nodes can be assumed to be distributed evenly in a square grid (see figure 5). With a maximum hop of four, there will be 64 cells per a sink (including the sink). With five, the corresponding value is 100. Note that it is assumed that the border nodes (cells) are shared with the neighbouring sinks' border nodes. Thus the total amount of nodes are 64 and 100 and not 81 and 121. The traffic generated at the sink is not by definition using the mesh network, and thus the effective node counts will be 63 and 99. The corresponding numbers for a traditional cellular network geometry, the hexagonal grid, is 47 and 74 respectively.

For the PMT tier, the maximum number of connections per node will be limited due to the fact that to connect to most nodes, the node will require another antenna. This restriction does not hold for the sink-node, the sectors of which will have to cover 360 degrees

For the purpose only of clarification and example of a capacity study for the AMT will now be considered. With an average customer throughput of, say, 200 kbit/s a sink will gather 12.6 Mbit/s (4 hops) and 19.8 Mbit/s (5 hops). Although this average rate may appear low, the bursty nature of typical traffic could make the instantaneous throughput at least an order of magnitude higher. If two radios will share the load at the sink, the capacity that each hop in the sink tier must be able to handle becomes  $\frac{1}{2} \times 19.8 \text{ Mbit/s} \approx 10 \text{ Mbit/s}$ . This is the maximum required AMT radio throughput. The maximum number of hops allowed will hence be limited by the available link capacity. The minimum total capacity needed for the AMT and PMT can't be computed by simply multiplying the number of nodes with the desired minimum capacity per node, because each hop consumes a share of the total network capacity. The more hops a data packet make the more capacity

is consumed. On average there are 2.73 hops per customer in the 4-hop case, and 3.38 hops in the 5-hop case.

$$4 \text{ hops: } (8 \times 1 + 16 \times 2 + 24 \times 3 + 15 \times 4) / 63 = 172 / 63 = 2.72$$

$$5 \text{ hops: } (8 \times 1 + 16 \times 2 + 24 \times 3 + 32 \times 4 + 19 \times 5) / 99 = 335 / 99 = 3.38$$

Thus traffic flowing to the sink will require a capacity of  $2.72 \times 12.6 \text{ Mbit/s} = 34.3 \text{ Mbit/s}$  (4 hops) and  $3.38 \times 19.8 \text{ Mbit/s} = 66.9 \text{ Mbit/s}$  (5 hops). The capacity factor using 5 hops compared to 4 is  $2.72/3.38 = 0.80$  (or  $34.3/66.9 \times 100/64$ ), while the factor of sinks necessary is 0.64. (I.e. the capacity is reduced by 20%, but there are 36% fewer sinks required).

The various network tier combinations and their applications will now be described. Five classes of environmental conditions are considered: dense urban, urban, semi-urban, semi-rural and rural. Sub-classes for these can be defined on a global scale to reflect local variations.

Example Definitions:

- Dense Urban: City centre, 4+ story buildings, and no open space between buildings except streets. Building efficiency (defined as the ratio between the sum of the total floor area (including the walls) and the land area being used) exceeds 1.00.
- Urban: Area around city centre, 2-4 story buildings, some open space between buildings may exist. Building efficiency between 0.50 – 1.00.
- Semi-Urban: City centre of small city, 1-3-story buildings, fair amount of open space around buildings. Building efficiency 0.30 – 0.50
- Semi-Rural: Single family housing area, 1-2-story buildings, lots of empty space between, sight possibly restricted by trees & fences. Building efficiency 0.10 – 0.30.
- Rural: Buildings make up a minimal part of the area. Building efficiency less than 0.10 (normally a lot less).

Utilization scenarios for these five different environments are introduced below. Obviously any combination is available in any case, but business case parameters and economics are likely to favour some combinations over others.

Thus one of the key issues of flexible network deployment can be handled by choosing the appropriate network elements.

The dense urban area is a difficult radio environment especially if LOS is required. In downtown areas business penetration is typically relatively high. Thus capacity demands become fairly high. LOS is required for this high capacity, but it is laborious to arrange, and thus the wireless solution has severe drawbacks in this environment. Nevertheless, PTP tier radios can be used to cost efficiently connect office buildings. The AMT can be used to offer service for mobile users.

Urban areas offer the highest probable customer density with a useful radio environment. Here the full concept can be utilized, as the probability for LOS is much higher than in the dense urban case. In the case that the capacity required by the customers is low, then the PTP tier can be omitted.

Semi-urban areas are most suitably handled by the AMT for access and the PMT for transport. If higher capacities are required and the distances are suitable for PTP radios, then the full concept can be considered. A PMT only solution to attract only business users is feasible.

Semi-rural areas are mainly occupied by residential users. As the area is normally quite large compared to the capacity need, the PTP tier is not necessary). For last mile(s) access to residential customers, the AMT based approach is sufficient. If only higher capacity customers are served, then the solution is PMT.

Rural areas have a very low customer density. A PMT is the most suitable. If cheaper radios are desired and lower throughput can be tolerated, then AMT radios with additional directional antennas can be used. The network topology here is fully dependent on particular case but could be a multi-leg star, simple mesh or a combination of these (see figure 6).

Figures 7 to 10 illustrate the deployment of the AMT in an urban environment. In this environment NLOS (dotted lines in the figures) operation is beneficial, helping to reach all potential customers. As the customer base and customer density grow after initial implementation, the need for NLOS operation gradually diminishes. At some level it may be that only LOS operation is considered. At this stage additional technology (e.g. 60 GHz radios) can be introduced.

With higher customer density the cell size decreases. This can be implemented by restricting constraints on power control so as to limit the transmission range of transceivers in the system. The transmit power is adjusted continually to the minimum required level for the information to get through with a required level of accuracy. This has an interference reducing effect. Nevertheless, performance may advantageously be strengthened by usage of space diversity (directional antennas). Traditional directional antennas are not preferred in the present case as beam direction must be adjusted according to the position of the other end of a radio link. Smart and semi-smart antennas will be preferred.

Directional antennas and an advanced frequency re-use scheme may be called upon when the LOS probability increases. In that case, not only does the end point lie within LOS, but also an increasing number of the other devices. This is a limiting factor to shrinking cells. Thus systems with worse propagation characteristics and more available bandwidth are foreseen. Even optical transmission can be considered at this point at least for the fixed installations.

In rural areas the customer density might be very low. There is a clear need for extended range. In this case the AMT can be deployed in a LOS manner (LOS is generally easily achieved in rural areas, and hence a weak constraint). This might call for locating fixed antennas on high constructions and even professional installation and alignment of the antennas. Optional very high gain directional antennas could be used to extend the range further. Nevertheless, the mesh functionality can be used when available creating redundant paths (see figure 11).

Since the PMT should be capable of serving as sink tier for the AMT, the capacity of each PMT node should be (in a typical case for present level data throughputs) at least, say, 12.8Mbps (matched to the 4 hop max. mesh case) or 20.0 Mbps (matched to the 5 hop max. mesh case). Hence, as a stand-alone application, the PMT will typically serve as the lowest tier of the backhaul network for businesses or apartment complexes, which have a private (wired or wireless) LAN, or as MAN to connect clusters of buildings like in university campuses.

Since entirely isolated networks are exceedingly rare, a PMT will, in practice, probably be provided with have sinks to wireless PTP nodes and/or wired network backbones.

A flexible increase in range by decreasing the throughput should enable usage of the PMT tier as primary tier to provide access for customers in (semi) rural areas.

The PTP Tier consists of high throughput ( > 100 Mbps) point-to-point links, which can serve as backbone network for the above PMT, and as access point for customers with very high data-rate demands or a combination thereof. The only feasible sinks for this tier are wired "internet backbones".

As with the PMT above, a trade-off between throughput and range should be facilitated to allow effective usage in both high-density (urban) and low-density (rural) areas.

Deployment of the complete concept as shown in figure 3 will be most feasible in urban areas with either solely residential customers, or a mixture of residential and business customers. The AMT would then be deployed to facilitate low-cost connections to individual residences and businesses with low throughput needs, while the PMT would serve as sink tier for the AMT and to facilitate access for businesses with medium throughput demands. The PTP Tier in this case serves as backhaul tier for the PMT and to facilitate access for businesses with high throughput demands.

Some examples of potential spectrum allocations for the tiers of the system will now be set out.

The AMT could (in the USA) be implemented in the U-NII band (regulated in Part 15, Subpart E), which is assigned three slots of 100 MHz each at 5.15, 5.25 and 5.725 GHz respectively. If ISM band regulations are fulfilled, then an additional 50 MHz is available (5.725 – 5.875GHz ISM). The lack of licensing in these bands would allow for fast, flexible deployment of devices.

AMT transmissions are made up of control slots and data slots (see below). The transmission of the control slots may be done in one of the channels in the highest band (due to the allowance of the highest power in this band), which can be selected arbitrarily by the operator. During the transmission of these control slots, all other channels will be silent. Data slots will be scheduled in the remaining time, spread out over all channels, where the scheduling will take the maximum allowed power in that channel into consideration.

The following table illustrates possible U-NII bands and power regulations for the example of implementation of the present system in the USA.

Band (GHz)	Max. Output Power
5.15 – 5.25	200 mW
5.25 – 5.35	400 mW
5.725 – 5.825	800 mW

Alternatively, the AMT could be implemented in the 2.4-2.4835 GHz ISM band (see figure 12). This band requires a minimum 6 dB bandwidth of 500 kHz (see IEEE 802.16 System Requirements v4) and allows a peak output power of 1 Watt (omni-directional). WLAN standards (see IEEE Std 802.11-1999 and ETSI/BRAN HIPERLAN, Type 2 "Requirements and architectures for wireless broadband

access", TR 101 031, v2.2.1) divide this band into either 13 (Europe) or 11 (USA) channels 5MHz channels, although this is not a regulatory requirement. In situations where allocated spectrum is limited an adaptation of the presently described example system, with reduced spreading (requiring less bandwidth, but reducing the throughput) could be used.

Another option would be deployment in the MMDS bands (see figure 13).

The PMT tier could be implemented in the LMDS bands, the allocation of which is shown in figure 14. These bands are regulated in Part 101, and require licensing.

The PTP Tier's optimum spectral location will heavily depend on the technology used. For example implementations on traditional microwave links and infrared are possible. PTP links generally require a license for each link separately, rather than licensing of a frequency band in a certain region.

ITU spectrum allocation recommendations for PTP systems are defined throughout the range of 1 GHz up to 57 GHz (see Rec. ITU-R F.746-4 Radio-Frequency Channel Arrangements for Radio-Relay Systems, 1999). The following equation defines the required channel bandwidth (B) for a certain bit rate (R):

$$B = \frac{(1 + \alpha)R}{\log_2 M}$$

where  $\alpha$  is the cosine roll-off factor (generally 0.2 – 0.5), R the bit rate and M the number of modulation levels. For example a 155 Mbit/s radio with 64-QAM would require a bandwidth of 40 MHz.

The PMT tier will preferably support the same transport protocols as the AMT tier.

The aim of the network management in the PMT is to allow the network operator to change any desired parameter or protocol functionality in any remote device without any onsite maintenance. For this purpose, all devices could be SNMP-



compatible, and allow the operator to transfer new software into the device by FTP.

For this tier, the network management should allow the operator to conveniently add nodes, without too many manual settings. Even though the network does not adapt itself to new nodes, the NMS must be capable of determining which parameters to change in the surrounding devices.

Network management for this tier will be part of the overall network management functionality described below.

Unlike present LMDS systems, the PMT tier does not require a direct link (either physically direct or through a repeater) of each node to a sink, and is therefore more reliable and flexible. The increased reliability is the result of the link redundancy in a mesh topology.

In the present system the combination of the PMT with the AMT provides significant advantages. As last mile access can be handled with AMT radio links and apparatus, which can be implemented with cheaper RF technology and have no stringent LOS requirements, PMT radios can be provided less expensively and the network made easier to plan. This in turn enables more elaborate solutions than in pure LMDS systems, giving the PMT tier a performance and deployment advantage (i.e. higher range, throughput, interference resilience etc.) over LMDS solutions.

The purpose of the PTP Tier is to provide dedicated high capacity links. These may be viewed as being replacements for cable or fibre when that is supported by link economics. PTP radios might also assist operators who do not own their own wired infrastructure, or in cases where fast deployment is essential.

PTP Tier throughput in the present example system ranges from 155 Mbit/s and multiples thereof to 1 Gbit/s. Infra-red, radio or other wireless links can be used. A typical link range may be 500m or less.

PTP Tier links can in practice be deployed one-by-one. It is preferred that licensed bands be used. Availability and reliability figures are likely to be equal to those of wired backbones.

Examples of radios that could be used (with modification) in the PTP tier are the Nokia PowerHopper (18 and 26 GHz) and the Nera NL290 (4-13 GHz).

Network management will preferably facilitate the following features:

- Configuration management - with a configuration management protocol allowing the operator to set such parameters as IP addresses, RX/TX parameters etc.
- Fault management – allowing the operator to access a device's error and warning logs, reset devices and shut down devices. The protocols will allow the operator to set various reporting levels and set the severity of errors to be reported to the central network management system.
- Performance management - Performance management protocols in each device monitor the device's link quality. A device may be configured to report prolonged periods of unacceptable link quality to an operator's central management system. Such a feature is generally not desired for a AMT device, but very desirable for the PMT and PTP Tier devices.
- Security management - Security management protocols will allow the operator to set keys in each device and to validate the key of each device. Each device should be able to validate access attempts.
- Accounting management - Accounting management protocols may allow the operator to set the maximum throughput of traffic originating from the device. This could include limiting the bursty throughput as well as the total traffic.
- Flow management - allowing the operator to force the direction of traffic, overruling the automatic routing protocols.

- Upgrading management - The network can allow the operator to upgrade the software in one specific device by means of uni-cast, or in a group of devices by means of multi-cast.

All of the above features should preferably be available to an operator from a single NMS, and should be accessible while the device is operational (with exception of certain upgrading and fault management functions, which may interrupt the device's operation for a short duration).

It is envisaged that the network described herein will be predominantly used for transportation of "internet applications": both traditional flexible applications, such as FTP and web-browsing; and time-critical applications such as voice-over-IP and audio/video streaming. Applications which require IPSEC or like security, and multicast and broadcast applications may also be supported.

Significant advantages of the present system lie in its flexibility of adaptation and deployment of the network, as well as in the plurality of services that a provider can offer to its customers and the range of devices that can be connected.

The fact that the present system provides a flexible wireless solution for traffic concentrations up to at least 155 Mbps, and that the system reconfigures itself when new sinks are added, gives the service provider a flexible tool to match the bandwidth demands of its customers, and hence to scale up the network as desired. In contrast, a typical wired solution requires estimation of fixed capacity years ahead since capacity can only be increased with significant investment.

The relative flexibility of adaptation and scalability of the present system also give a service provider flexibility of deployment, since the provider can match his network to the current demand, and modify it easily and only when traffic-patterns change. This allows the provider to make a much lower initial investment than for a wired solution.

Since the CPE devices are principally intended for short-distance (wholly or semi-) LOS use, the cost of the RF part of a CPE of the present system can be kept fairly low. Also, since the basic access scheme is DSSS, but alternative high performance (and more expensive) schemes such as OFDM are optional, devices varying in performance and cost can be easily connected to the network, increasing the number of devices. On top of this, the AMT is intended for the ISM bands, for which the RF equipment is significantly cheaper than for the PMT devices, which may use the LMDS bands. Hence the hierarchical layering of the proposed system can reduce costs compared to a pure LMDS-band based network.

The design of the present system inherently allows a service provider to offer a wide variety of service packages. Not only because user priorities allow the provider to assign preferred customers and flexible maximum bandwidth, but also because the full system concept consists of three natural tiers of services. This allows the provider to not only have small-demand customers connect to the AMT, but also to have larger-demand customers connect to the PMT or even PTP tier, without changing the functionality of the system.

Due to connection redundancy, the present system is likely to be more tolerant of link failure than, for example, star and tree networks. When a link breaks, a device in the present system can reroute its traffic over its other links. Even a sink-node failure will not result in loss of connectivity, as the traffic can (though with lower efficiency) be redirected to another sink-node.

Networks that rely on CSMA or similar contention-based access protocols tend to break down or deliver drastically lower throughput as the number of active devices grows large. This is due to the increased probability of collisions and the back-off mechanisms. The proposed network however does not suffer from such effects as reservation mechanisms are used. Even when the number of devices grows large compared to the number of control-slots available in a superframe, redefinition of the superframe size in the present system could readily allow for

more control-slots and hence facilitate access for more devices. On the other hand, when few devices are present, the superframe could be defined to be smaller, so as not to waste resources.

Reliability can be further enhanced provided by the modulation agility, which would allow a device to switch to modulations less sensitive to interference if necessary, while it enables selecting high throughput modulations when the link-quality is sufficient.

Additional reliability may be provided by using an FFT engine (which may in any event be provided for use with OFDM implementation) for spectral analysis to detect and avoid channels with high interference.

The higher tiers of the present system may call for a relatively large amount of bandwidth, which is only available in high frequency ranges where propagation loss is generally severe. This may limit the range of such higher-tier links. Care should thus be taken in designing the system since the higher tiers must span over the lower tiers mandating somewhat longer link-lengths. This issue is less pertinent when the AMT is dense, as the sinks, and thus the nodes of the tiers above it, lie closer together.

Care should also be taken to handle any delays that may arise. For example, due to the multi-tier and (in the mesh tiers) multi-hop solution, CPE-POP delay in the present system may be larger compared to a single-tier network (which however requires significantly more wiring). Also, due to the adaptive routing in the AMT, the number of hops between two nodes may change, making estimation of the peer-to-peer delay difficult.

It may be envisaged that the present system may be further enhanced by:

- (i) The use of hybrid ARQ;
- (ii) Antenna diversity, adjustable antenna lobe direction, smart antennas, a semi-smart antenna concept or the like;

- (iii) The use of a PMT protocol that takes advantage of the fixed aspect of the network (especially when narrowbeam antennas are used and multicast from nodes is not possible).
- (iv) The use of a scheme with semi-randomised slot locations to avoid sub-harmonics (for example with period equal to the frame length).

The following abbreviations are used herein:

AGC	Adaptive Gain Control
AMT	Ad-Hoc Mesh Tier
ARP	Address Resolution Protocol
BRAN	Broadband Radio Access Networks
CCITT	International Telegraph and Telephone Consultative Committee
CCA	Carrier Controlled Access
CCK	Complementary Code Keying
CPE	Customer Premise Equipment
CRC	Cyclic Redundancy Code
CSMA	Carrier Sense Multiple Access
DSSS	Direct Sequence Spread Spectrum
DSL	Digital Subscriber Line
ETSI	European Telecommunication Standardization Institute
FCC	Federal Communications Commission (USA)
FFT	Fast Fourier Transform
ICMP	Internet Control Message Protocol
IP	Internet Protocol
IPSEC	Secure Internet Protocol
ISDN	Integrated Services Digital Network
ISM	Industry, Science & Medical
ISP	Internet Service Provider
ITU	International Telecommunication Union
LAN	Local Area Network
LMDS	Local Multipoint Distribution Service
LOS	Line Of Sight

MAN	Metropolitan Access Network
MMDS	Multi Media Distribution System
NLOS	Non Line Of Sight
NMS	Network Management System
OFDM	Orthogonal Frequency Division Modulation
PAN	Personal Access Network
PMT	Pre-configured Mesh Tier
PMP	Point-to-Multi-Point
POP	Point of Presence
PPP	Point to Point Protocol
PTP	Point-to-Point
QAM	Quadrature Amplitude Modulation
QoS	Quality of Service
RF	Radio Frequency
RIP	Router Information Protocol
RX	Receive
SLIP	Serial Line Internet Protocol
SNMP	Simple Network Management Protocol
SOHO	Small Office Home Office
TCP	Transmission Control Protocol
TFTP	Trivial File Transfer Protocol
TX	Transmit
UDP	User Datagram Protocol
U-NII	Unlicensed National Information Infrastructure
WLAN	Wireless Local Access Network
WLL	Wireless Local Loop

The present invention may include any feature or combination of features disclosed herein either implicitly or explicitly or any generalisation thereof irrespective of whether it relates to the presently claimed invention. In view of the foregoing description it will be evident to a person skilled in the art that various modifications may be made within the scope of the invention.

**CLAIMS****1. A communications system comprising:**

a first network comprising a plurality of first network subscriber units and a first network sink node unit capable of wireless communication with the first network subscriber units; and

a second network geographically at least partly overlapping the first network and comprising a plurality of second network subscriber units and a second network sink node unit capable of wireless communication with the second network subscriber units; and

a dedicated connection between the first network sink node unit and a second network unit capable of communication in the second network, whereby a first network subscriber unit may be provided with a communication path to another second network unit.

**2. A communications system as claimed in claim 1, wherein wireless communication in the first network is independent of wireless communication in the second network.**

**3. A communications system as claimed in claim 2, wherein wireless communication in the first network is in a different frequency band from wireless communication in the second network.**

**4. A communications system as claimed in any preceding claim, wherein the first network comprises a plurality of first network sink node units with which the first network subscriber units are capable of wireless communication.**

**5. A communications system as claimed in claim 4, comprising a plurality of a dedicated connections, each dedicated connection being between a respective first network sink node unit and a respective second network unit whereby a first network subscriber unit may be provided with a communication path to another second network unit.**



6. A communications system as claimed in any preceding claim, comprising:  
a third network geographically overlapping the second network and comprising a plurality of third network subscriber units and a third network sink node unit capable of wireless communication with the primary third network unit; and  
a dedicated connection between a second network sink node unit and a third network unit capable of communication in the third network, whereby a second network subscriber unit may be provided with a communication path to another third network unit.
7. A communications system as claimed in claim 6, wherein wireless communication in the first network and in the second network is independent of wireless communication in the third network.
8. A communications system as claimed in claim 7, wherein wireless communication in the first network and in the second network is in a different frequency band from wireless communication in the third network.
9. A communications system as claimed in any preceding claim, wherein the second network comprises a plurality of second network sink node units with which the second network subscriber units are capable of wireless communication.
10. A communications system as claimed in claim 9 as dependent directly or indirectly on claim 6, comprising a plurality of a dedicated connections, each dedicated connection being between a respective second network sink node unit and a respective third network unit whereby a second network subscriber unit may be provided with a communication path to another third network unit.
11. A communications system as claimed in any preceding claim, wherein the said communication is data communication.

12. A communications system as claimed in claim 11, wherein the said communication is packet data communication.

13. A communications system as claimed in any preceding claim, wherein the said communication uses an internet protocol.

14. A communications system as claimed in any preceding claim, wherein the said communication in the first network is radio communication.

15. A communications system as claimed in any preceding claim, wherein the said communication in the second network is radio communication.

16. A communications system as claimed in any of claims to 7 to 15 as dependant directly or indirectly on claim 6, wherein the said communication in the third network is radio communication.

17. A communications unit for operation in a communications system including a first network comprising a plurality of first network subscriber units; and a second network geographically at least partly overlapping the first network and comprising a plurality of second network subscriber units and a second network sink node unit capable of wireless communication with the second network subscriber units; the communications unit being operable as a first network sink node unit capable of wireless communication with the first network subscriber units, and having a dedicated connection to a second network unit capable of communication in the second network, whereby a first network subscriber unit may be provided with a communication path to another second network unit.

18. A method for providing a communication path in a communications system comprising: a first network comprising a plurality of first network subscriber units and a first network sink node unit capable of wireless communication with the first network subscriber units; and a second network geographically at least partly overlapping the first network and comprising a plurality of second network

subscriber units and a second network sink node unit capable of wireless communication with the second network subscriber units; the method comprising providing a dedicated connection between the first network sink node unit and a second network unit capable of communication in the second network, whereby a first network subscriber unit may be provided with a communication path to another second network unit.

19. A communications system comprising:

a first network comprising a first sink node and a plurality of first communication terminals capable of wireless communication with the first sink node;

a second network level geographically at least partly overlapping the first network and comprising a second sink node and a plurality of second communication terminals capable of wireless communication with the second sink node; and

the first sink node being further capable of operation as a second communication terminal for providing the first communication terminals with communications access to the second network.

20. A communications system as claimed in claim 19, wherein wireless communication in the first network is independent of wireless communication in the second network.

21. A communications system as claimed in claim 20, wherein wireless communication in the first network is in a different frequency band from wireless communication in the second network.

22. A communications system as claimed in any of claims 19 to 21, wherein the first network comprises a plurality of first network sink node units with which the first communication terminals are capable of wireless communication.

23. A communications system as claimed in claim 22, comprising a plurality of a dedicated connections, each dedicated connection being between a respective

first network sink node unit and a respective second network unit whereby a first network communication terminal may be provided with a communications access to the second network.

24. A communications system as claimed in any of claims 19 to 23, comprising:

a third network geographically at least overlapping the second network and comprising a plurality of third network communication terminals and a third network sink node unit capable of wireless communication with the primary third network unit; and

a dedicated connection between a second network sink node unit and a third network unit capable of communication in the third network, whereby a second network communication terminal may be provided with communications access to the third network.

25. A communications system as claimed in claim 24, wherein wireless communication in the first network and in the second network is independent of wireless communication in the third network.

26. A communications system as claimed in claim 25, wherein wireless communication in the first network and in the second network is in a different frequency band from wireless communication in the third network.

27. A communications system as claimed in any of claims 19 to 26, wherein the second network comprises a plurality of second network sink node units with which the second network communication terminals are capable of wireless communication.

28. A communications system as claimed in claim 27 as dependent directly or indirectly on claim 24, comprising a plurality of a dedicated connections, each dedicated connection being between a respective second network sink node unit and a respective third network unit whereby a second network communication terminal may be provided with a communications access to the third network.

29. A communications system as claimed in of claims 19 to 28, wherein the said communication is data communication.

30. A communications system as claimed in claim 29, wherein the said communication is packet data communication.

31. A communications system as claimed in any of claims 19 to 30, wherein the said communication uses an internet protocol.

32. A communications system as claimed in any of claims 19 to 31, wherein the said communication in the first network is radio communication.

33. A communications system as claimed in any of claims 19 to 32, wherein the said communication in the second network is radio communication.

34. A communications system as claimed in any of claims to 25 to 33 as dependant directly or indirectly on claim 24, wherein the said communication in the third network is radio communication.

35. A communications unit for operation in a communication system including a first network comprising a plurality of first communication terminals; a second network geographically at least partly overlapping the first network and comprising a second sink node and a plurality of second communication terminals capable of wireless communication with the second sink node; the communications unit being operable as a first sink node capable of wireless communication with the first sink node and of operation as a second communication terminal for providing the first communication terminals with communications access to the second network.

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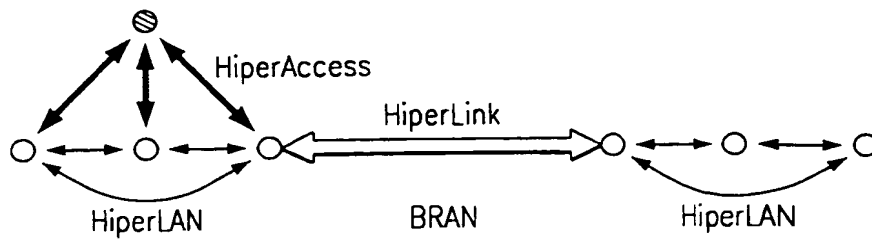


FIG. 1

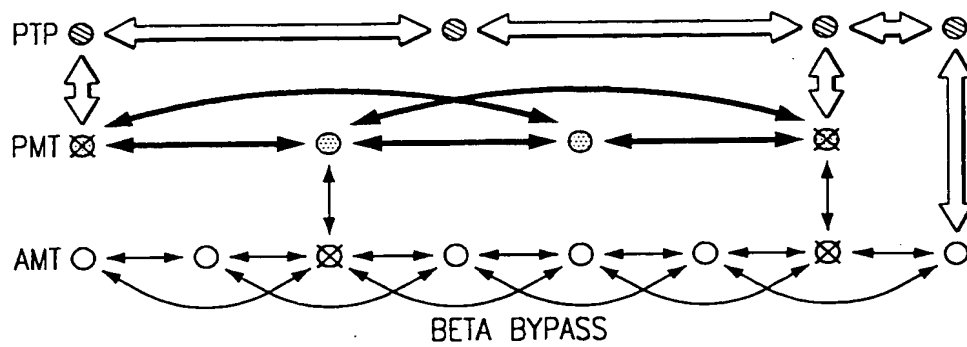


FIG. 2

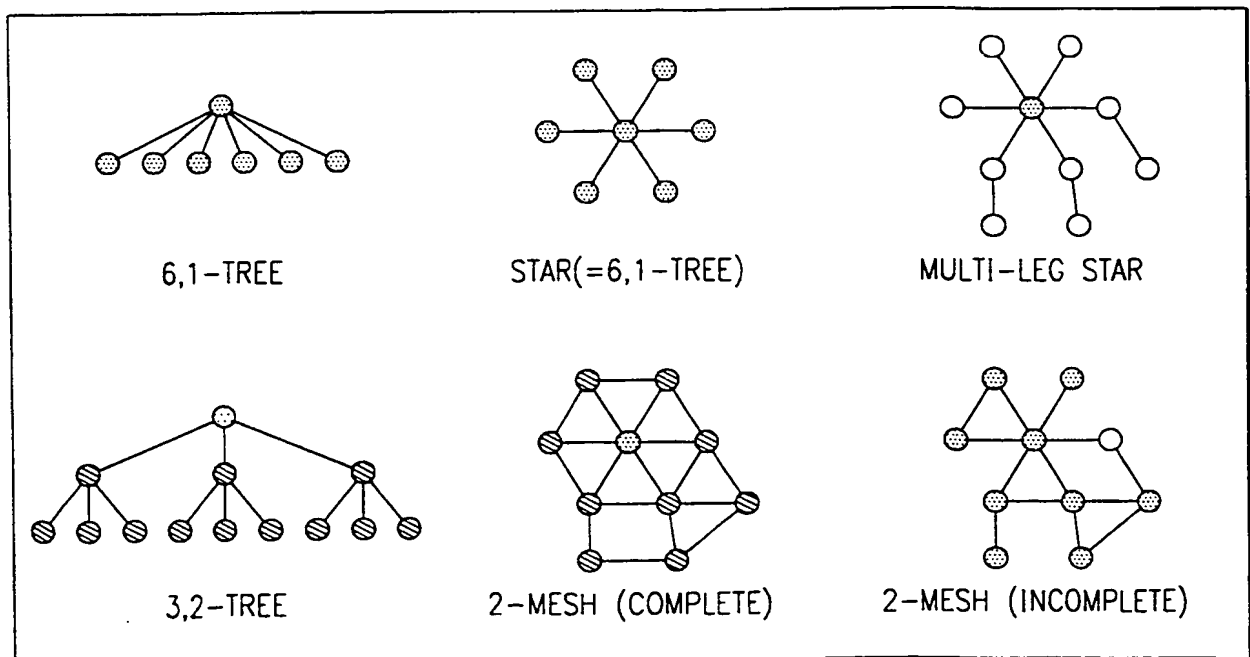


FIG. 4

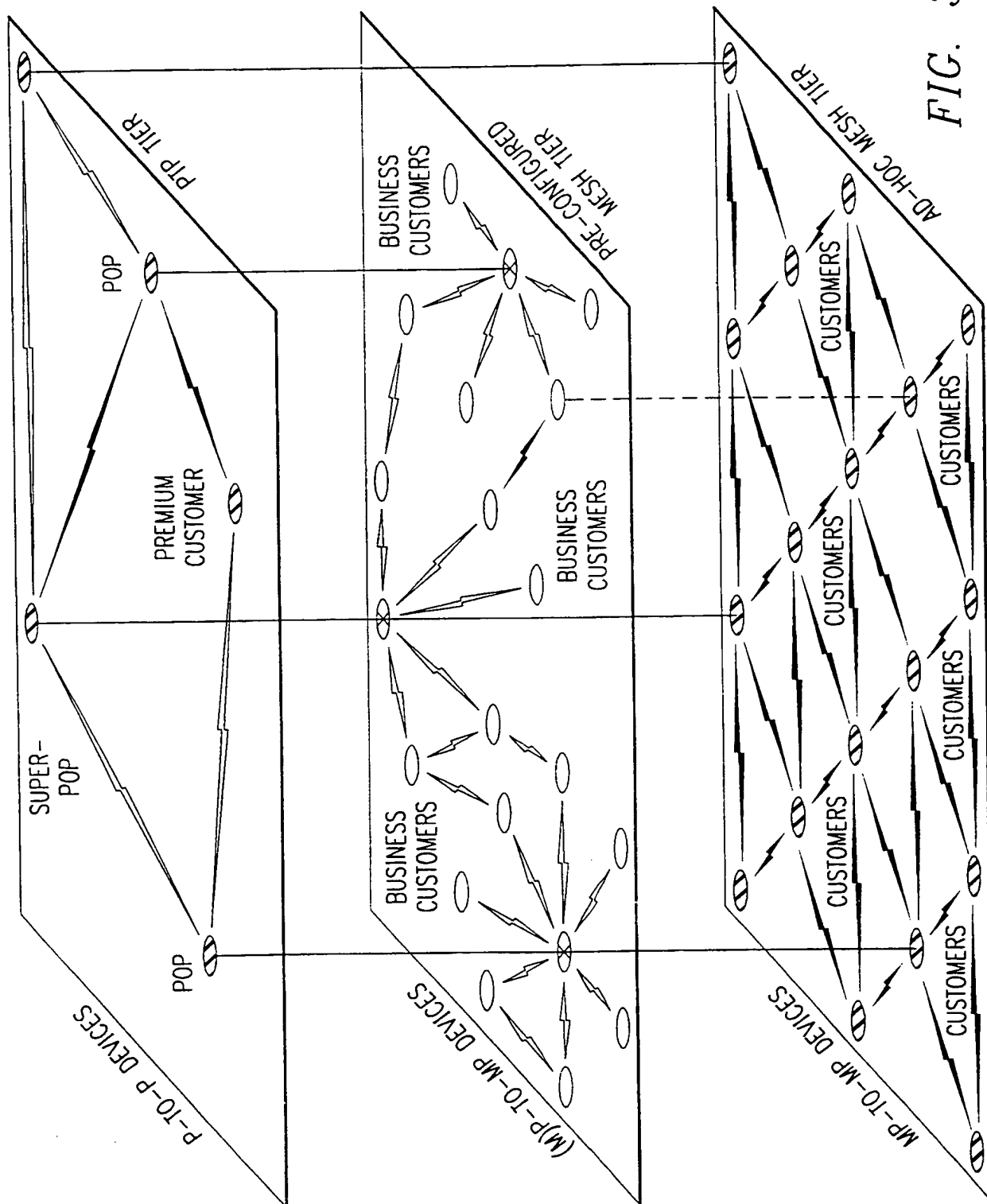


FIG. 3

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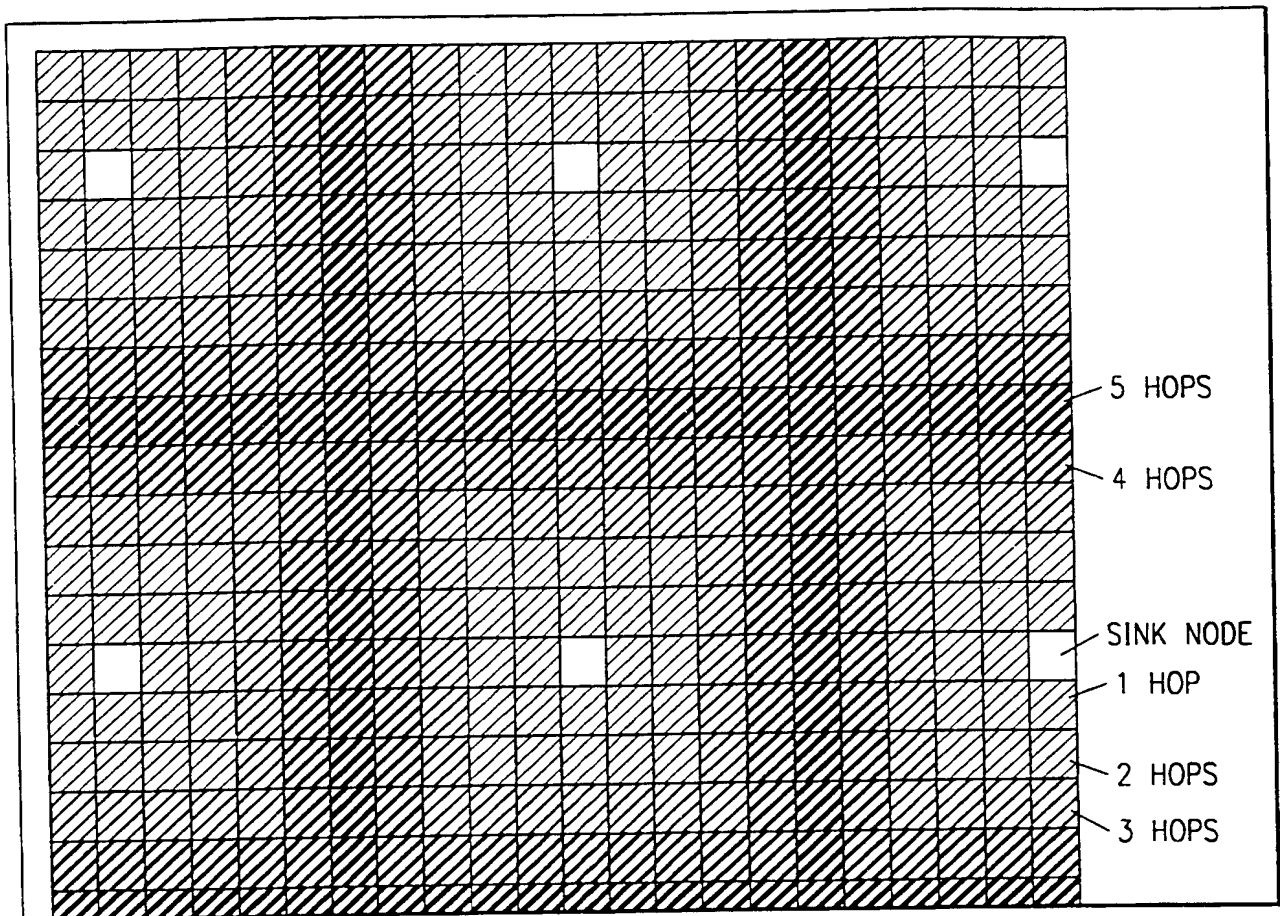


FIG. 5

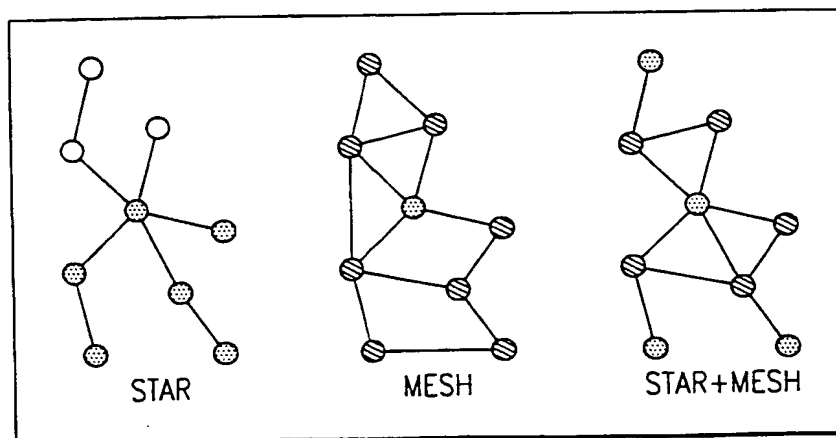


FIG. 6



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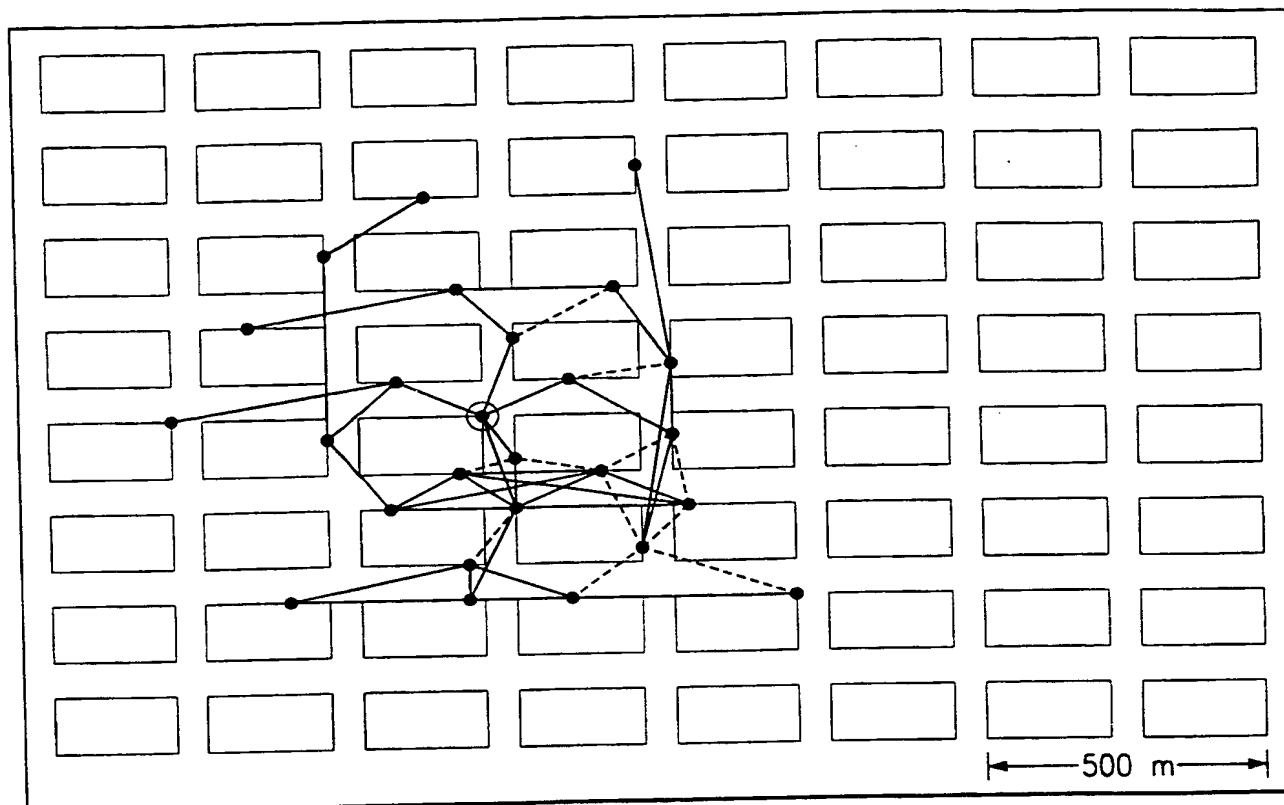
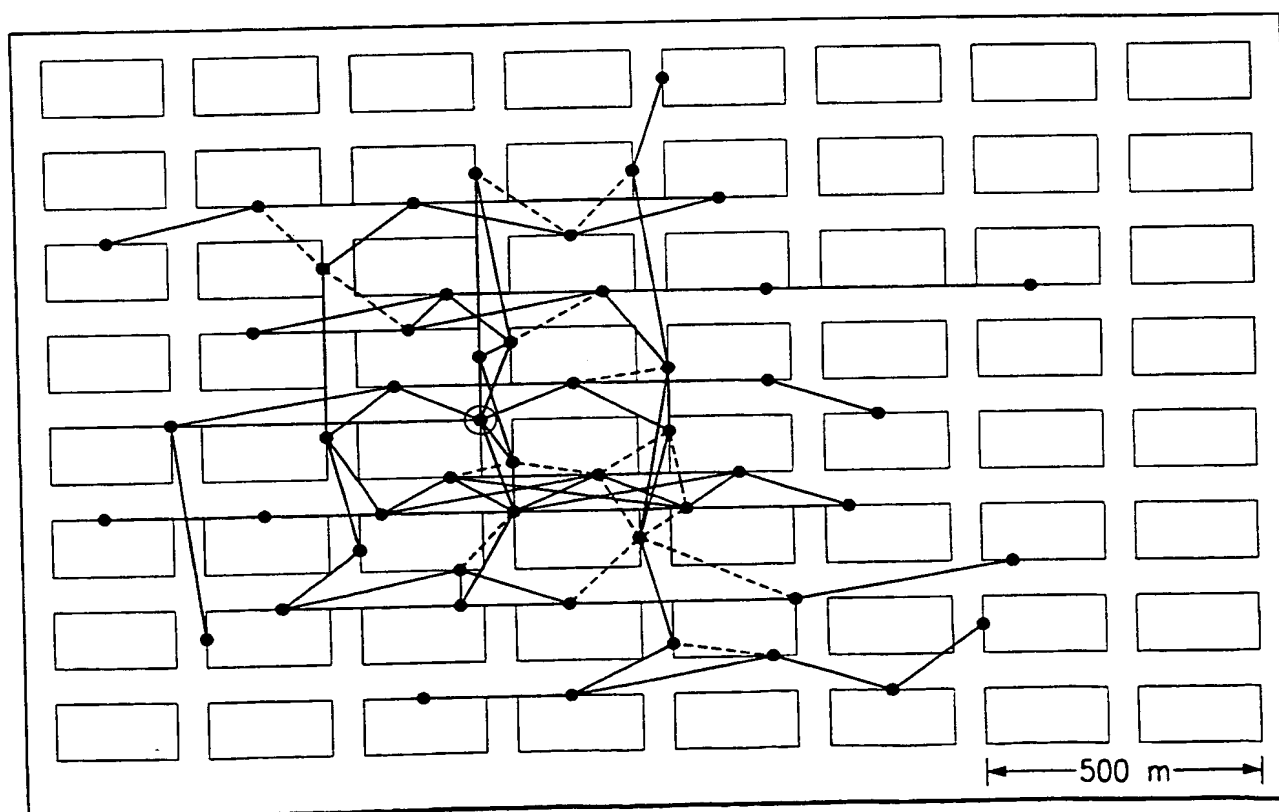
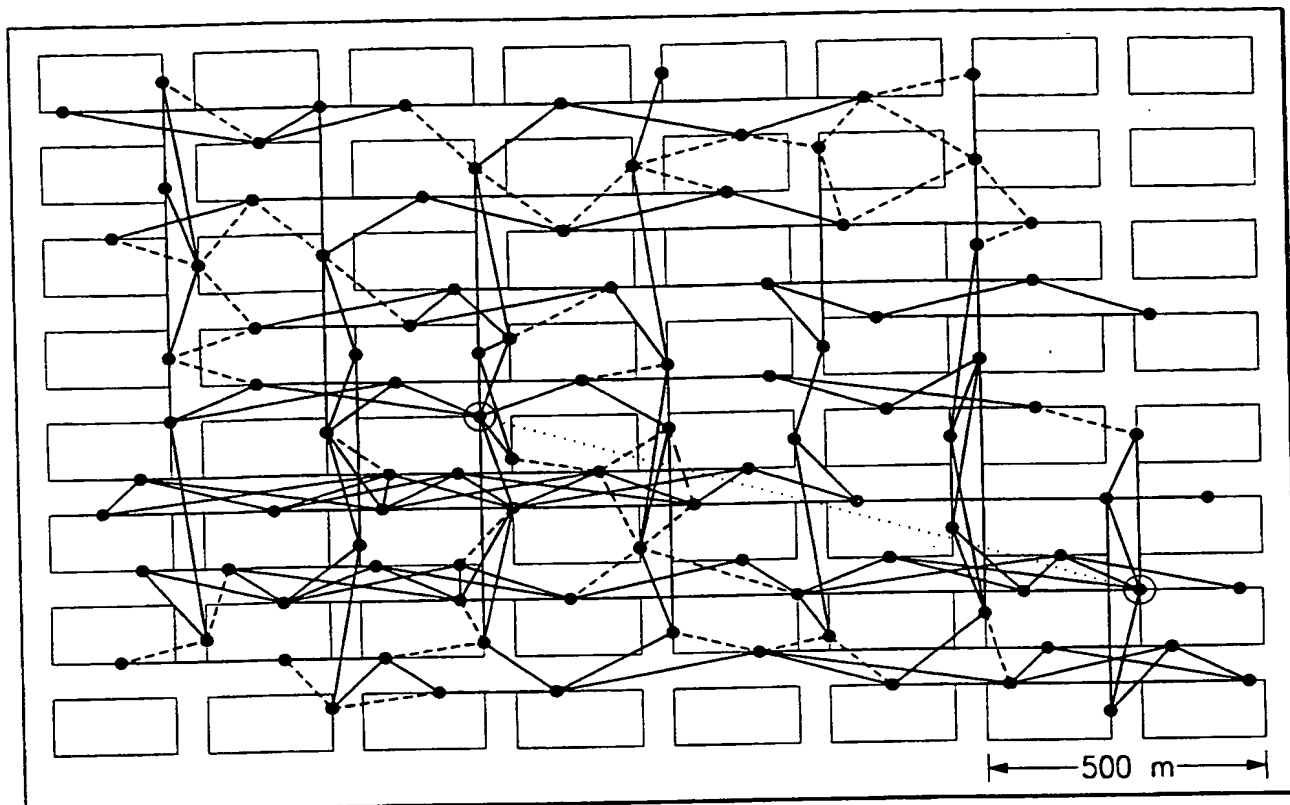
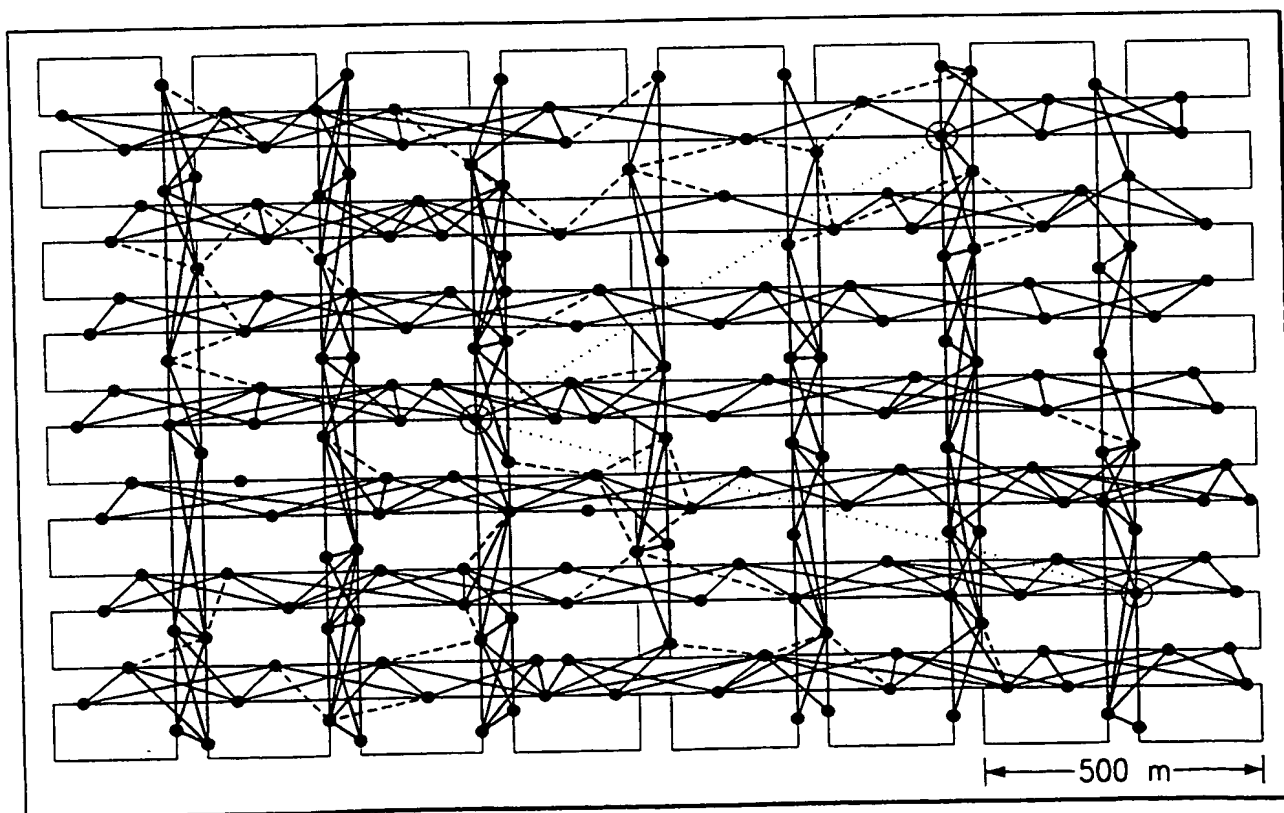


FIG. 7

FIG. 8  
SUBSTITUTE SHEET (RULE 26)

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*FIG. 9**FIG. 10*  
SUBSTITUTE SHEET (RULE 26)

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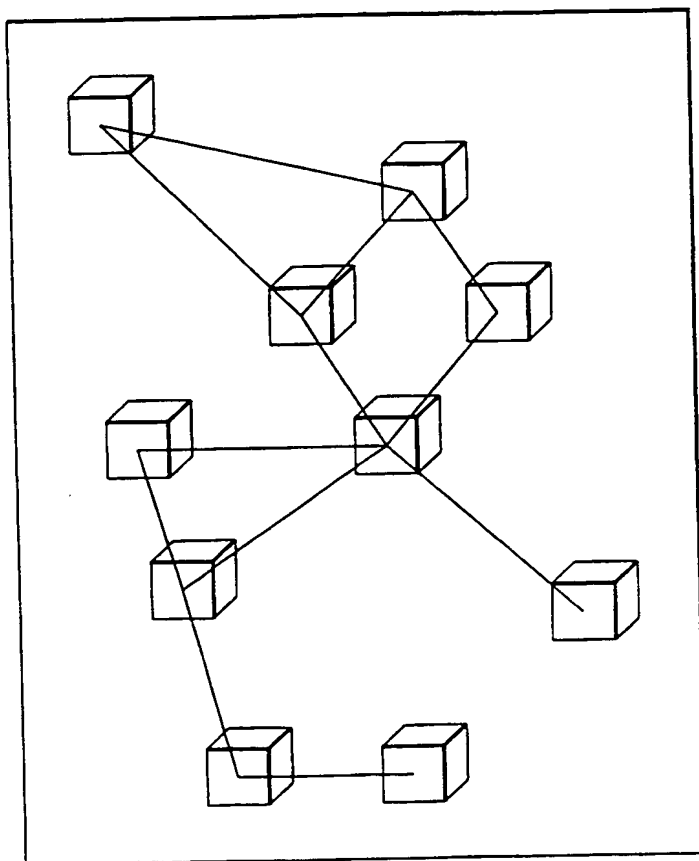


FIG. 11

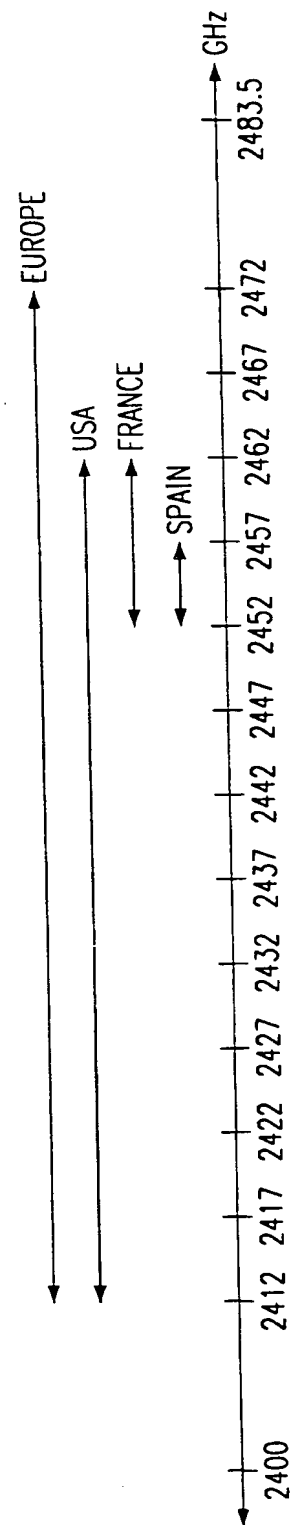


FIG. 12

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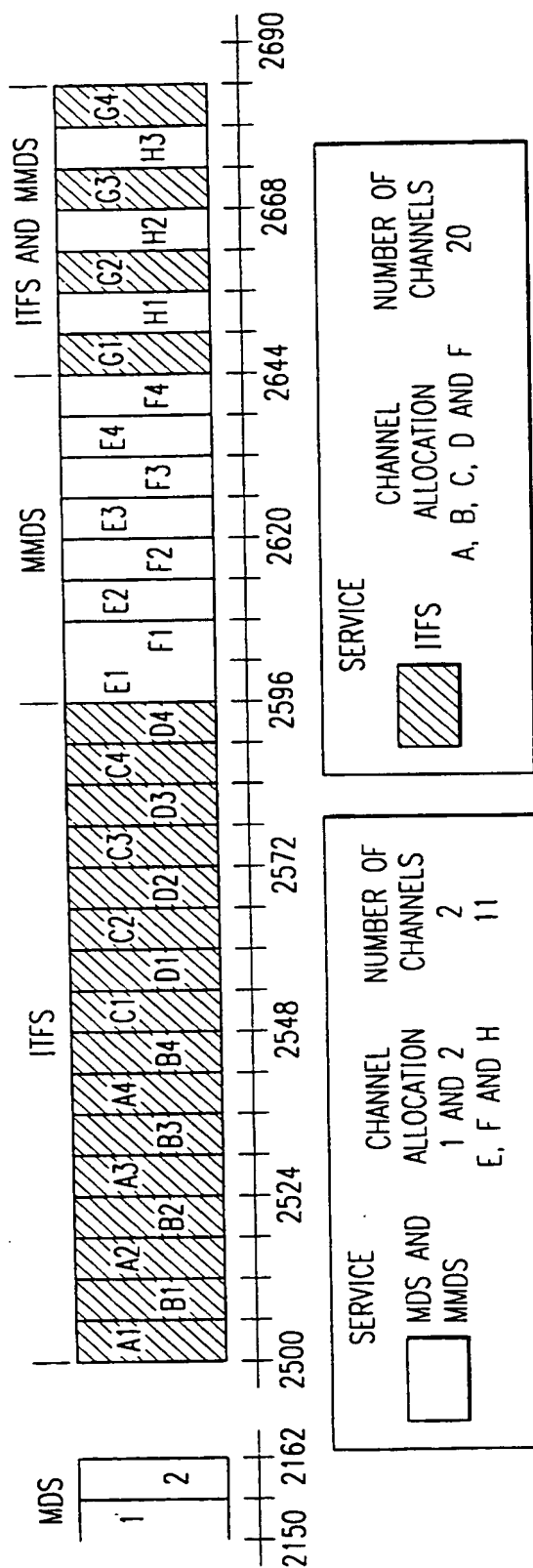


FIG. 13

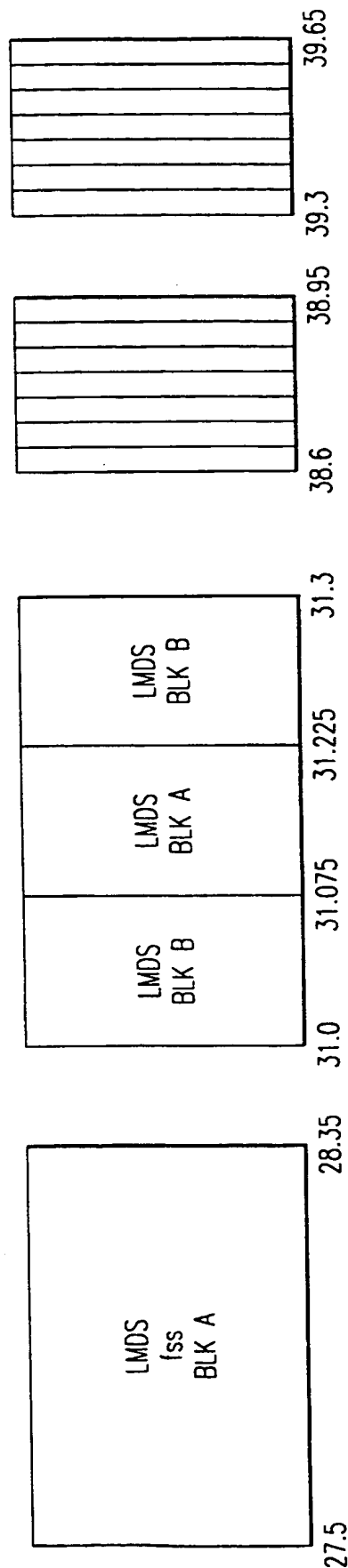


FIG. 14

# INTERNATIONAL SEARCH REPORT

International Application No.

PCT/IB 00/01434

**A. CLASSIFICATION OF SUBJECT MATTER**  
IPC 7 H04L12/28 H04Q7/30

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)  
IPC 7 H04L H04Q

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

WPI Data, PAJ, EPO-Internal, INSPEC

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
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A		1,17,18, 21,23, 24,28,34
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A		1,17,18, 21,23, 24,28,34
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☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

\* Special categories of cited documents:

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- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

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- \*X\* document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
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- \*&\* document member of the same patent family

Date of the actual completion of the international search

20 December 2000

Date of mailing of the international search report

28/12/2000

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Authorized officer

Vaskimo, K

# INTERNATIONAL SEARCH REPORT

Inter. Appl. Application No  
PCT/IB 00/01434

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REPLACED BY  
ART 34 AMDT

first network sink node unit and a respective second network unit whereby a first network communication terminal may be provided with a communications access to the second network.

24. A communications system as claimed in any of claims 19 to 23, comprising:

a third network geographically at least overlapping the second network and comprising a plurality of third network communication terminals and a third network sink node unit capable of wireless communication with the primary third network unit; and

a dedicated connection between a second network sink node unit and a third network unit capable of communication in the third network, whereby a second network communication terminal may be provided with communications access to the third network.

25. A communications system as claimed in claim 24, wherein wireless communication in the first network and in the second network is independent of wireless communication in the third network.

26. A communications system as claimed in claim 25, wherein wireless communication in the first network and in the second network is in a different frequency band from wireless communication in the third network.

27. A communications system as claimed in any of claims 19 to 26, wherein the second network comprises a plurality of second network sink node units with which the second network communication terminals are capable of wireless communication.

28. A communications system as claimed in claim 27 as dependent directly or indirectly on claim 24, comprising a plurality of a dedicated connections, each dedicated connection being between a respective second network sink node unit and a respective third network unit whereby a second network communication terminal may be provided with a communications access to the third network.

29. A communications system as claimed in of claims 19 to 28, wherein the said communication is data communication.

30. A communications system as claimed in claim 29, wherein the said communication is packet data communication.

31. A communications system as claimed in any of claims 19 to 30, wherein the said communication uses an internet protocol.

32. A communications system as claimed in any of claims 19 to 31, wherein the said communication in the first network is radio communication.

33. A communications system as claimed in any of claims 19 to 32, wherein the said communication in the second network is radio communication.

34. A communications system as claimed in any of claims 25 to 33 as dependant directly or indirectly on claim 24, wherein the said communication in the third network is radio communication.

35. A communications unit for operation in a communication system including a first network comprising a plurality of first communication terminals; a second network geographically at least partly overlapping the first network and comprising a second sink node and a plurality of second communication terminals capable of wireless communication with the second sink node; the communications unit being operable as a first sink node capable of wireless communication with the first sink node and of operation as a second communication terminal for providing the first communication terminals with communications access to the second network.